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<p>(54) Title: TGFβ1-RESPONSIVE CELLS FROM BONE MARROW</p> <p>(57) Abstract</p> <p>A homogeneous population of bone marrow-derived TGFβ-responsive cells, a method for their selection from bone marrow, and a method for expressing a recombinant protein from the bone marrow-derived cells. The selection comprises the steps of treating bone marrow cells in vitro with a TGFβ1 protein, which selects a homogeneous population of the cells for further treatment. The selected cells can then be expanded, after which a gene encoding a therapeutic protein can be inserted into the expanded cells and thereafter express the therapeutic protein. The transduced cells can then be introduced into a mammal to produce a therapeutic result.</p>			

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TGF β 1-RESPONSIVE CELLS FROM BONE MARROW

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Field of the Invention

The present invention relates to bone marrow-derived cells, a method for their selection from bone marrow, and the use of the bone marrow-derived cells as vehicles for gene transfer. More particularly, this 10 invention relates to the use of a transforming growth factor β 1 (TGF β 1) protein for selecting from bone marrow a population of cells that are responsive to the TGF β 1 protein. The selected cells can thereafter be used as vehicles for transferring genes encoding a 15 therapeutic protein to a mammal, including humans.

Background of the Invention

The two main cellular systems associated with bone and marrow are the hemopoietic stem cell and stromal stem cell systems. In the stromal stem cell system, 20 mesenchymal stem cells (also known as mesenchymal progenitor cells) give rise to the progenitors of many differentiated phenotypes including osteocytes, chondrocytes, myocytes, adipocytes, fibroblasts, and marrow stromal cells. However, the term "stem cell" is 25 not easily defined. According to Maureen Owen (Bone and Mineral Research, pp. 1-25 (Elsevier Science

Publishers 1985)), "[t]here is no rigorous definition of stem cells. They have a high capacity for self-renewal throughout life and the ability to differentiate into a variety of functional cell 5 populations." Owen at 1. With respect to the stromal stem cell system, Owen states that

10 [m]esenchymal, stromal, fibroblastic, reticular, reticulum, and spindle are terms often used interchangeably for these connective tissue cells, which have also been designated mechanocytes. The term "fibroblastic" is commonly used to encompass all of these cells. . . .

15 [C]ulture conditions, which promote clonal growth of fibroblastic cells, include the use of fetal calf serum, usually frequent complete change of medium . . . and single-cell preparations . . . When cells prepared in this way are cultured *in vitro* under the above conditions the majority of the hemopoietic cells die and stromal fibroblastic 20 colonies are formed. Marrow cells cultured under these general conditions give rise to fibroblastic colonies, each derived from a single cell. These cells rapidly grow to confluence and are often referred to as marrow fibroblasts.

25 Owen at 4, 6. Owen adds that "the true complexity of the stem cell population, which, considering the heterogeneity of . . . clones examined, cannot be overestimated." Owen at 10.

30 Caplan et al. (U.S. Patent No. 5,486,359) report that they separated a homogeneous population of human mesenchymal stem cells from bone marrow. Caplan et al. also describe methods for characterizing and using the purified mesenchymal stem cells for research diagnostic and therapeutic purposes.

35 In the Caplan et al. procedure, bone marrow plugs are vortexed in culture medium supplemented with selected (but undefined) lots of fetal bovine serum.

Washed cell pellets are re-suspended, passaged through graded (18-gauge then 20-gauge) needles, washed, counted, and plated. Alternatively, bone marrow aspirates are layered onto a Percoll gradient, after 5 which a low-density fraction is collected and plated under standard culture conditions. In either case, nonadherent cells are removed by media change, and the remaining cells are allowed to grow to confluence, yielding, according to Caplan et al., a homogeneous 10 population of uniformly fibroblast-like cells.

Specifically, Caplan et al. report that "[a]dherent mesenchymal stem cells from femoral head cancellous bone or iliac aspirate have similar morphology, almost all being fibroblastic, with few adipocytic, polygonal, 15 or round cells. . . ." Column 19, lines 46-49; Figure 1. Caplan et al. further disclose that these adherent fibroblastic cells can be passaged under standard culture conditions or induced to differentiate into bone forming cells under certain conditions.

20 It is known that certain mesenchymal progenitor cells are capable of self-renewal and undergo expansion in the presence of transforming growth factor $\beta 1$ (TGF $\beta 1$), a pleotropic cytokine with autocrine and paracrine functions.

25 Transforming growth factor β (TGF β), a 25 KDa peptide found abundantly in platelets and bone, released in response to tissue injury, is becoming an increasingly important tool for immunomodulation, wound healing, and tissue repair. TGF β is also a 30 chemoattractant for cells of mesenchymal origin, and as such, recruits fibroblasts to the site of injury, stimulates angiogenesis and *de novo* synthesis of

extracellular matrix proteins in concert with the up-regulation of inhibitors of matrix degradation. See Roberts A.B., Sporn M.B.: The Transforming Growth Factor- β s, pp. 420-472 (1990). TGF β 1 and TGF β 2 are potent immunoregulatory agents, suppressing the proliferation and function of T and B lymphocytes in vitro (Id.) and in vivo (See Wrann M, et al., "T Cell Suppressor Factor from Human Glioblastoma Cells Is a 12.5 KD Protein Closely Relating to Transforming Growth Factor-beta," EMP. J. 6:1633-36 (1987)). Hence, TGF β appears to play a crucial role in clinically relevant disorders of immune surveillance, tissue regeneration, and repair. Moreover, repair after tissue injury such as burns, myocardial infarction, cerebral ischemia and trauma, as well as surgical wound healing, may be accelerated by a single systemic infusion or local application of this peptide growth factor. See Beck S.L., et al., "TGF- β 1 Induces Bone Closure of Skull Defects," J. Bone Mineral Res. 6(1991). The therapeutic effects of TGF β administration may be augmented and/or prolonged by its pronounced autocrine and paracrine functions.

Heretofore, TGF β 1 has not been demonstrated to function as a survival factor, as opposed to a growth (proliferation) factor.

Summary of the Invention

In one aspect, the present invention is directed to a selected, homogeneous population of bone marrow-derived TGF β 1-responsive cells and a method for their selection from bone marrow, preferably human bone marrow.

The bone marrow-derived TGF β 1-responsive cells of the present invention have been referred to in the recently published scientific literature as mesenchymal progenitor cells or mesenchymal stem cells. See E.M. Gordon, et al., Human Gene Therapy 8:1385-94 (July 20, 1997). However, the inventors now recognize that the bone marrow-derived TGF β 1-responsive cells of the present invention, in a preferred embodiment, are more appropriately named "premesenchymal progenitor cells" or "premesenchymal stem cells," since the selected cells morphologically appear to be an earlier or more primitive form of mesenchymal stem cells or mesenchymal progenitor cells, as evidenced by the round blastoid-like shape of the cells instead of the fibroblastic-like shape normally associated with mesenchymal stem cells. Bone marrow-derived TGF β 1-responsive cells of the present invention thus can include a homogeneous population of premesenchymal stem cells (also known as premesenchymal progenitor cells) or a homogeneous population of differentiated premesenchymal cells, such as stromal cells. The homogeneous population of premesenchymal stem cells can include pluripotent blastoid cells.

The selection is carried out by treating bone marrow cells *in vitro* with a TGF β 1 protein, which selects from the cells a population of cells that are responsive to the TGF β 1 protein. The selected cells can be thereafter expanded in the cell culture.

In another aspect, the present invention is directed to a method for expressing a recombinant protein from the selected homogenous population of bone-marrow derived TGF β 1-responsive cells. The cells

can be transduced with a DNA segment encoding a therapeutic protein to cause the cells to express the therapeutic protein. In an additional aspect, the present invention is directed to the transduced cells 5 and a method for introducing them into a recipient to produce a therapeutic result.

In a preferred embodiment, the TGF β 1 protein is a TGF β 1 fusion protein comprising an extracellular matrix binding site, which is preferably a collagen binding site. The extracellular matrix binding site of the 10 TGF β 1 fusion protein can then be used to target the TGF β 1 fusion protein to an extracellular matrix, such as collagen. In a more preferred embodiment, the collagen binding site is a von Willebrand's factor-derived collagen binding site. 15

In still another aspect, the present invention is directed to a gene therapy method comprising the steps of capturing TGF β 1-responsive, bone marrow-derived cells under low serum conditions in a collagen matrix 20 impregnated with a TGF β 1 fusion protein comprising a von Willebrand's factor-derived collagen binding site (TGF β 1-vWF) which targets the TGF β 1 fusion protein to the collagen matrix. The captured cells then can be expanded in the cell culture to form differentiated 25 cell colonies. These expanded cell colonies can then be transduced *in vitro* with a viral vector comprising a gene encoding a therapeutic protein, wherein the gene is expressed to produce the therapeutic protein. The transduced cells can thereafter be introduced into a 30 mammal, such as a human, to produce a therapeutic result.

In a particular embodiment of the invention, it has been discovered that premesenchymal progenitor cells isolated with a TGF β 1-vWF fusion protein, expanded in culture, and transduced with a retroviral 5 vector containing the gene encoding factor IX expressed significant levels of factor IX protein. Moreover, when the transduced cells were transplanted into immunocompetent mice, the human factor IX transgene was expressed *in vivo*.

10

Brief Description of the Drawings

Features, aspects and advantages of the invention will be more fully understood when considered with respect to the following detailed description, appended claims and accompanying drawings where:

15

Figure 1 is a schematic representation of the genetically engineered TGF β 1-von Willebrand's factor fusion construct. The expressed protein contains a histidine purification tag, a protease site, an auxiliary collagen-binding decapeptide sequence and the 20 cDNA sequence encoding the mature active fragment of human TGF β 1.

20

Figure 2 shows the proliferation of marrow stromal cells in varying concentrations of fetal bovine serum (FBS). The cell number, plotted on the vertical axis, 25 is expressed as a function of the serum concentration (% FBS) over a time period of 6 days, plotted on the horizontal axis.

30

Figure 3 contains gel photographs showing hematoxylineosin (H & E) stained sections of control, untreated collagen pads in Figure 3(a), and TGF β 1-vWF-

treated collagen pads in Figures 3(b) and 3(c) after removal from bone marrow cultures after 8 days.

Figure 4 contains gel photographs demonstrating in Figure 4(a), bone marrow aspirates cultured in serum-poor medium which exhibit cell degeneration and cell death; in Figure 4(b), survival of a primitive population of blastoid cells in collagen gels augmented by a recombinant, collagen-binding TGF β 1 fusion protein; in Figure 4(c), expansion of captured stem cells after selection, in the presence of additional serum factors; and in Figure 4(d), formation of colonies of expanded stem cells, revealing stromal/fibroblastic derivatives.

Figure 5 contains gel photographs showing the differentiation of collagen/TGF β 1-captured stem cells into an osteogenic lineage. Figure 5(a) shows control bone marrow aspirates cultured in collagen gels, in the absence of the recombinant TGF β 1 fusion protein, while Figure 5(b) shows the expansion of osteogenic colonies, in the presence of the recombinant TGF β 1 fusion protein and after subsequent culturing in the presence of osteoinductive factors (dexamethasone, vitamin C and β -glycerophosphate). Figure 5(c) shows the formation of osteogenic "tissues" in the presence of the TGF β 1 fusion protein and osteoinductive factors. Figure 5(d) is an enlargement of Figure 5(c).

Figure 6 are photographs of gels showing in Figure 6(a), control bone marrow aspirates cultured in collagen-coated wells, in the absence of TGF β 1-vWF; in Figure 6(b), the capture of a population of blastoid precursor cells in collagen-coated wells impregnated with TGF β 1-vWF; and in Figure 6(c), transplanted,

marrow premesenchymal cells following capture and expansion in the presence of TGF β 1-vWF, reconstitution with serum, and transduction with the LIXSNL vector.

Figure 7 shows reverse transcriptase-polymerase chain reaction-based (RT-PCR-based) detection of unique human factor IX sequences in the bone marrow (Lane 2) and lung (Lane 3) of recipient mice twenty-eight days after transplantation of factor IX, vector-transduced premesenchymal progenitor cells. The mice were sacrificed for RT-PCR detection of human factor IX sequences in various mouse organs. Positive bands identifying human factor IX cDNA sequences (Lanes 8 and 9) are seen in a 180 bp region of non-homology to mouse factor IX cDNA sequences. The samples run from various murine tissues are as follows:

Lane 1, LIXSNL/liver; Lane 2, LIXSNL/bone marrow; Lane 3, LIXSNL/lung; Lane 4, LXSNL/liver; Lane 5, LXSNL/bone marrow; Lane 6, LIXSNL/kidney; Lane 7, 1 Kb Marker; Lanes 8 and 9, human liver; Lane 10, blank; Lane 11, LIXSNL/spleen; Lane 12, LXSNL/kidney.

Figure 8 shows the results of capturing subsets of osteoblastic precursors obtained from bone marrow by exposing the cells to various types of bone morphological proteins (BMPs) (rhOP-1, rhBMP-2 and bFGF). As shown in the photographs, these populations of cells possess distinct characteristics in terms of less proliferative potential and more differentiated phenotypes.

Figure 9 shows the results of bone chamber studies, wherein TGF β 1 captured and expanded cells display the ability to generate cartilage (much more so

with the collagen-targeted TGF β -1, TGF β 1-F2), while those captured with BMPs provided bone.

Detailed Description of the Invention

As indicated above, the present invention relates 5 to bone marrow-derived TGF β 1-responsive cells, a method for their selection from bone marrow, and the use of the selected bone marrow-derived cells as cellular vehicles for gene transfer. The present invention demonstrates that a homogeneous population of bone 10 marrow-derived, TGF β 1-responsive cells, including premesenchymal progenitor cells, can be selected and expanded by virtue of their intrinsic physiological responses to TGF β 1. The present invention further demonstrates the utility of these treated cells for 15 conducting gene therapy approaches in mammals, including humans.

The inventors have demonstrated the capture, expansion, differentiation, and potential utility of a distinct population of round, blastoid cells obtained 20 under stringent selection conditions by virtue of a newly-defined survival response elicited by a defined growth factor. Notably, TGF-beta is normally stored in platelets and bone matrix, where it is released in response to injury, but is not otherwise present in the 25 general circulation. As stated above, TGF-beta plays a fundamental role in the recruitment and differentiation of mesenchymal precursor cells. This physiological response to TGF-beta (fusion proteins) is essential for the capture (i.e., survival) of these blastoid cells, 30 which are otherwise not physically separated from either hematopoietic or other mesenchymal cells on the

basis of size, density, or adherence. The TGF-beta responsive cells proliferate in response to serum factors and form distinctive colonies within collagen matrices. The morphology of these cells is initially 5 blastoid, and not fibroblastic, yet the proliferative cells are capable of overt cytodifferentiation into fibroblastic and/or osteogenic cells, signifying a mesenchymal precursor. As shown in Figure 9, placed in bone chambers, the TGF-beta captured premesenchymal 10 precursor cells of the present invention form cartilage and not bone, in contrast to BMP-captured stem cells, which exhibit a less proliferative and more differentiated (bone-forming) phenotype *in vivo*.

The present invention in one aspect is directed to 15 a method for selecting bone marrow-derived TGF β 1-responsive cells from bone marrow comprising the steps of treating bone marrow cells *in vitro* with a TGF β 1 protein, thereby selecting from the cells a population 20 of cells that are responsive to the TGF β 1 protein. The selected cells can be then expanded in the cell culture. The present invention in another aspect is 25 directed to a method of expressing a recombinant protein from the bone marrow-derived cells comprising inserting a DNA segment encoding a therapeutic protein into the expanded cells, to cause the cells to express the therapeutic protein.

In a preferred embodiment, the TGF β 1 protein used for treating the bone marrow cells *in vitro* is a TGF β 1 fusion protein comprising an extracellular matrix 30 binding site. The extracellular matrix binding site enables the TGF β 1 fusion protein to bind to an extracellular matrix, such as a collagen matrix. A

preferred extracellular matrix binding site is thus a collagen binding site. Types of collagen matrices include gels and pads. This binding of the TGF β 1 fusion protein to the extracellular matrix permits the 5 capture of TGF β 1-responsive, bone marrow-derived cells in the extracellular matrix.

The bone marrow-derived TGF β 1-responsive cells of the present invention include premesenchymal progenitor stem cells, also referred to as TGF β 1-responsive 10 progenitor cells (TRPC), and differentiated bone marrow-derived cells, such as stromal cells.

Introduction of a DNA segment *in vitro* into bone marrow-derived cells may be accomplished by known procedures, preferably by transduction with a viral 15 vector, most preferably a retroviral vector. Nonviral procedures include electroporation, calcium phosphate mediated transfection, microinjection and proteoliposomes.

The DNA segment introduced into the bone marrow-derived cells in the present method can encode any of a variety of therapeutic proteins. The method of this invention is particularly useful for genetic therapeutic approaches to correcting defects in the thrombosis-hemostasis system. Examples of suitable 25 genes or DNA segments include those that encode human factor IX, factor VIIIC, von Willebrand's factor, tissue plasminogen activator, protein C, protein S and antithrombin III.

The present invention is also directed to a method 30 for providing a recipient, including a mammal, with a therapeutically effective amount of a therapeutic protein by introducing TGF β 1-responsive, bone marrow-

derived cells into the recipient. The TGF β 1-responsive, bone marrow-derived cells are treated *in vitro* prior to introduction into the recipient, first, with a TGF β 1 protein to select the TGF β 1-responsive cells from the remainder of cellular components contained in a bone marrow sample, and second, to insert into the TGF β 1-responsive cells a DNA segment encoding a therapeutic protein. The transduced cells thereafter will express a therapeutically effective amount of the therapeutic protein *in vivo* in the recipient.

The present invention also is directed to a gene therapy method comprising the first step of capturing TGF β 1-responsive, bone marrow-derived cells, preferably 15 premesenchymal progenitor cells, under low serum conditions in a collagen matrix (e.g., pads and gels) impregnated with a recombinant TGF β 1-fusion protein comprising a collagen binding site, preferably derived from von Willebrand's factor, which targets the TGF β 1 protein to the collagen matrix and prolongs its 20 biological half-life. See Tuan T.L., et al., "Engineering, Expression and Renaturation of Targeted TGF-beta Fusion Proteins," Conn. Tiss. Res. 34:1-9 (1996). Engineered TGF β 1 fusion proteins incorporating 25 the collagen binding site which is fused with an active fragment of the TGF β 1 protein, as shown in figure 1, exhibit functional properties that do not exist in nature. See Tuan T.L., et al. These collagen-targeted, TGF β 1-vWF fusion proteins were found to 30 function efficiently in capturing the TGF β 1-responsive,

blastoid progenitor cells. TGF β 1 constructs lacking the collagen binding domain were not as efficient.

The gene therapy method of the present invention comprises expanding the TGF β 1-responsive cells to form 5 differentiated cell colonies, followed by the step of transducing the expanded cells *in vitro* using known gene transfer procedures, including viral-mediated, and preferably retroviral-mediated, gene transfer. In a preferred embodiment, additional TGF β 1, preferably from 10 a purified source, is added to the cells during the capture and expansion steps. The transduced cells can then express the therapeutic protein.

The gene therapy method of the present invention also comprises the step of introducing the transduced 15 cells into a mammal to produce a therapeutic result. More specifically, and as set forth in more detail below, the present invention demonstrates the utility of TGF β -vWF impregnated collagen matrices for isolating novel target cells as vehicles for carrying out *ex vivo* 20 gene therapy.

To optimize the cell culture conditions for effecting the *ex vivo* selection of TGF β 1-responsive progenitor cells, varying concentrations of fetal bovine serum (FBS) were added to bone marrow stromal 25 cells grown in Dulbecco's Minimum Essential Medium (DMEM) for 6 days. Figure 2 shows a characteristic decrease in cell number observed at 24 hours, followed by a serum-dependent increase in cell counts over time. No rise in cell count was observed in cultures 30 supplemented with 1% FBS or less, while cultures supplemented with concentrations greater than 1% FBS

showed a progressive recovery after a lag period of about 3 days. Based on the above, 1% and 0.5% FBS were used as minimal concentrations of serum for developing conditions favorable for the survival of TGF β 1-
5 responsive cells.

Figure 3 shows hematoxylineosin stained sections of collagen pads. Specifically, Figure 3(a) shows control, untreated pads, while Figures 3(b) and 3(c) show TGF β 1-vWF treated pads removed from bone marrow
10 cultures after 8 days. The untreated collagen pads showed a uniform absence of cellular elements, whereas the sections of TGF β 1-vWF treated pads revealed a population of small, mononuclear blastoid cells having coarse nuclear chromatin and nucleoli surrounded in
15 some areas by a narrow rim of blue agranular cytoplasm. A pleomorphic population of cells, including blastoid cells and presumptive derivatives, occasionally was noted, as shown in Figure 3(c). Both types of cells appear to have secreted extracellular matrix proteins
20 *de novo*. These matrix proteins are distinguishable from the original collagen fibers.

After conducting the above studies using human bone marrow aspirates, cell cultures were observed directly in additional studies wherein the selection
25 (capture) and mitotic expansion of rodent marrow-derived cells cultured in collagen gels were investigated. By comparing Figures 4(a) and 4(b), it is shown that maintaining a relatively uniform population of blastoid cells required the presence of TGF β 1-vWF fusion protein within the collagen matrix.
30 As demonstrated by Figure 4(b), TGF β 1-vWF apparently supported the survival, but not the expansion of these

blastoid cells under low serum conditions. However, upon adding 10% FBS to the cell culture, the captured cells began to proliferate, as evidenced by the formation of cell doublets, as shown in Figure 4(c), 5 and the formation of multicellular colonies, as shown in Figure 4(d). That the captured blastoid cells were a form of mesenchymal cell (or premesenchymal cell) was confirmed, as shown in Figure 5, by adding 10 osteoinductive factors (dexamethasone, vitamin C, and β -glycerophosphate) to the complete growth medium, which induced cytodifferentiation and mineralization of the expanding colonies.

Using a vector containing β -galactosidase gene as a reporter gene, the transduction efficiency observed 15 in both rodent and human TGF β 1-vWF-captured, bone marrow-derived premesenchymal progenitor cells ranged from 20-30%. In comparing gene delivery into TGF β 1-vWF expanded stem cells to that of delivery into 20 differentiated cells having a phenotype of mesenchymal origin, both marrow-derived stem cells and mature stromal cells were transduced with a retroviral vector bearing a human factor IX cDNA (LIXSNL). Table I below shows factor IX production after expanding selected cells in the presence of TGF β 1-vWF fusion protein, 25 followed by transducing the cells with the LIXSNL vector. The cells that were captured and expanded as a result of treatment with collagen-bound TGF β 1-vWF fusion protein produced significant (μ g) quantities of factor IX protein per 10^6 cells.

30 It also was observed that further supplementing the cell cultures with purified TGF β 1 induced a dramatic (ten-fold) increase in factor IX production.

TABLE I

Factor IX Production in Human Marrow
 Premesenchymal Stem Cells after Expansion
 with a TGF β 1-vWF Fusion Protein and
 5 Retroviral Vector-mediated Gene Transfer

	<u>Experiment</u>	<u>Factor IX ug/10⁶ cells/day</u>
	Collagen + TGF β 1-vWF	5.8 ± 2.6 n=4
10	Collagen + TGF β 1-vWF + TGF β 1	53.7 ± 12.5 n=4 p<0.001

* Factor IX antigen was not detected in cultures transduced with the control vector.

15 The level of factor IX produced by these TGF β 1-stimulated cell cultures was found to be considerably higher than the levels produced by mature cells of mesenchymal origin after retroviral vector-mediated gene transfer alone. However, these stimulated cultures of premesenchymal progenitor cells exhibited relatively 20 low coagulant activity (0.1mU clotting activity/ng protein), thus suggesting that the cells were biochemically immature and/or, as addressed further below, had not yet developed a competent τ -carboxylation system.

25 By comparison, a similar transduction efficiency (of about 28%) of the β galactosidase vector in differentiated marrow stromal cells was observed. Table II below shows factor IX production in G418-selected marrow stromal cells following transduction 30 with a vector containing the factor IX gene. The amount of biologically active factor IX secreted in

these cultures was proportional to the antigen level, indicating a functional τ -carboxylation system and exhibiting a ratio of native factor IX coagulant activity to antigen consistent with the ratio observed 5 in normal plasma (1 mU clotting activity/5 ng protein). The level of factor IX produced by transduced marrow stromal cells was comparable to the expression levels resulting from human fibroblasts. See Palmer, T.D., et al., "Production of Human Factor IX in Animals by 10 Genetically Modified Skin Fibroblasts: Potential Therapy for Hemophilia B. Blood 73:438-445 (1989).

TABLE II

15 Factor IX Production in Human Marrow Stromal Cells After Retroviral Vector-mediated Gene Transfer and G418 Selection

		Factor IX Antigen <u>Sample Number</u>	Factor IX Clotting Activity <u>ug/10⁶ cells/day</u>	<u>mU/10⁶ cells/day</u>
20	I	1.3		258.0
	II	0.3		52.5
	III	0.3		68.0
	IV	0.3		60.0

25 * Tabulated data are the result of duplicate assays. Factor IX antigen and clotting activity were detected in cultures transduced with the control vector.

30 A pilot study was undertaken to demonstrate the transplantation of transduced progenitor cells, as described above, into inbred mice. As shown in Figure 6(b), TGF β 1-vWF responsive cells from the bone marrow of B6CBA mice were captured on collagen/TGF β 1-vWF matrices under serum-poor conditions (1% FBS) for 5

days, after which, as shown in Figure 6(c), the selected cultures were then reconstituted in complete growth medium (D10) for 2 days. The expanded cell cultures were then transduced with the LIXSNL vector in 5 the presence of 8 μ g/ml Polybrene. On Day 21, 1×10^5 cells were infused into the tail vein of recipient mice and blood samples were collected every 7 days from the mouse's tail to assay for factor IX antigen.

At the time of transplantation, the mean factor IX 10 production was found to be 2.8 μ g/ 10^6 cells/24 hours, with a mean clotting activity of 676 mU/ 10^6 cells/24 hours and a clotting activity ratio of 1 mU to 4.2 ng protein (n=3). Injecting LIXSNL-transduced progenitor cells through the tail vein of immunocompetent mice 15 (n=3) produced detectable *in vivo* levels of human factor IX, specifically, up to 14.6 ng human factor IX/ml plasma at Day 7, 9.7 ng/ml at 2 weeks post-transplantation, followed by decreasing to non-detectable levels by 28 days post-transplantation.

20 The expected level of factor IX transgene expression was estimated based on the amount of factor IX produced in transduced mouse progenitor cell cultures, measured at the time of transplantation, using the formula:

$$25 \text{ Factor IX level} = \frac{\text{# of transplanted cells} \times \text{factor IX production (ng/cell/24 hr)} \times t_{1/2} (\text{hrs.})}{(\text{Expected, ng/ml}) \times \text{wt/kg} \times \text{plasma volume (ml)}} \quad 24 \text{ hrs.}$$

wherein the "# of transplanted cells" was 1×10^5 , mean "factor IX production" was 2.8 μ g/ 10^6 cells per 24 hr, mean "weight(wt)/kg X plasma volume (ml)" was 20 gm for 30 a plasma volume of 1 ml (50 ml/kg), and the half-life ($t_{1/2}$) for recombinant factor IX was 24 hr. The mean factor IX plasma levels of 14.6 ng/ml and 9.7 ng/ml

observed in three transplanted mice on Days 7 and 14, respectively, closely approximated the predicted level of about 14 ng/ml.

As shown in Figure 7, human factor IX transcripts 5 were detected by RT-PCR in the bone marrow and lungs of treated animals, but not in liver, kidney or spleen.

The therapeutic implications of the present invention for gene therapy are substantial. In 10 particular, the potential exists for using myo-fibro-osteogenic stem cell technology for fetal gene therapies of muscular dystrophy, connective tissue disorders, lipid storage disorders, and skeletal disorders, as well as hemophilia. Moreover, somatic 15 gene therapy may become the optimal treatment for hemophilia B (coagulation factor IX deficiency).

Moreover, gene therapy for treating hemophilia B may not require precisely regulated expression, nor site-specific gene integration. Whereas factor IX levels as high as 150% are found in healthy 20 individuals, a factor IX level of only 5% would eliminate crippling disease caused by recurrent joint hemorrhaging. Because factor IX normally circulates in plasma, any engineered cell having vascular access that produces sufficient levels of functional factor IX can 25 be a continuous *in vivo* source, thus obviating the need for repeated transfusions. Premesenchymal progenitor cells, in particular, are an attractive candidate due to their ability to self-renew and differentiate into secretory phenotypes present within the bone marrow.

30 The present invention will be illustrated in detail in the following examples. These examples are

included for illustrative purposes and should not be considered to limit the present invention.

Example 1

5 Production of a Recombinant
TGF β 1-vWF Fusion Protein

A prokaryotic expression vector was engineered to produce a tripartite fusion protein, as shown in Figure 1, consisting of a 6xHis purification tag, an auxiliary von Willebrand factor-derived collagen-binding site, 10 and a cDNA sequence encoding the mature active fragment of human TGF β 1 (TGF β 1-vWF). The method of preparing the TGF β 1-vWF fusion protein is the subject of co-pending U.S. Application Serial No. 08/465,772, filed June 6, 1995, and incorporated herein by reference. 15 See also Tuan T.L., et al., "Engineering, Expression and Renaturation of Targeted TGF-beta Fusion Proteins," Conn. Tiss. Res. 34:1-9 (1996), also incorporated herein by reference.

20 A fusion protein expressed from the above vector was isolated and purified to homogeneity from E. coli inclusion bodies using nickel chelate chromatography, solubilized with 8M urea, and renatured by oxidative refolding under optimized redox conditions. See U.S. Application Serial No. 08/465,772, incorporated herein 25 by reference; See also Tuan T.L., et al.. The biological activity of this construct was then evaluated by *in vitro* cell proliferation assays, using purified TGF β 1 as a standardized control.

Example 2Preparation of Collagen Matrices

Solid collagen matrices were prepared as described previously by Nimni and co-workers. See Nimni et al., Biotechnology 17:51-82 (1980). Specifically, 5 mm circles were cut from collagen sheets (~ 1 mm thick), sterilized with 70% ethanol, washed in DMEM, and incubated with TGF β 1-vWF (50 μ l/pad; 1 μ g) for 2 hours at 37°C prior to culturing with bone marrow aspirates in 6-well plates.

Example 3Establishing Initial Culture Conditions for Selecting TGF β 1-Responsive Cells

To establish the minimal growth conditions required for selecting TGF β 1-responsive cells, the survival rate of mature adherent stromal cells was monitored by cell counting in cultures containing DMEM supplemented with varying concentrations of FBS (Biowhittaker). The highest concentration of FBS (0.5 to 1%) that afforded a precipitous fall in cell number without significant recovery over 6 days, as demonstrated in Figure 2, was used as a selection medium for succeeding experiments. Approximately 1 X 10⁶ normal human bone marrow cells (obtained from the USC Norris Hospital) were plated in each of six-well plates (Falcon) containing 1) TGF β 1-vWF-treated collagen pads, 2) untreated collagen pads (5 mm diameter), or 3) a thin layer of Type 1 rat tail collagen (Becton-Dickenson) pre-incubated with the TGF β 1-vWF fusion protein. The cells were grown in minimal serum conditions: 1% FBS in DMEM supplemented

with 200 µg/ml ampicillin. The medium was replaced with DMEM-1% FBS every 4 days for 12 days, after which the medium was replenished with 10% FBS prior to transducing the cells. One TGF β -vWF-treated or 5 untreated collagen pad was removed every 4 days for 12 days, fixed in 10% formalin, embedded in paraffin, sectioned, and stained with hematoxylin-eosin for histologic examination.

Example 4

10 Capture and Expansion of
Premesenchymal Progenitor Cells in Collagen Gels

Bone marrow aspirates were obtained from euthanized, one-month old Fisher rats. The femoral, midshaft bone marrow tissue was washed in DMEM containing penicillin (100 U/ml) and streptomycin (100 µg/ml). Bone marrow cells were then collected by drawing the marrow several times into syringes fitted with an 18-gauge needle. The cells were then pelleted by centrifugation at 1000 rpm for 5 minutes, resuspended in serum-free medium, and counted with a hemocytometer.

Rat tail tendon type I collagen was prepared as described by Nimni et al. Specifically, rat tail tendons were harvested and rinsed with 1X PBS, digested overnight with pepsin (0.5 mg/ml), precipitated two times with 1M NaCl (pH 7.5) and dialyzed into 0.5 M acetic acid, followed by dialysis into 0.001N HCl. The concentration of collagen was determined by a hydroxyproline assay, while its purity was confirmed by 2-D peptide mapping, as described by Benya et al., Collagen Res 1:17-26 (1981). Three mg/ml collagen were

diluted three times with 3X DMEM to make a 1X collagen solution, whereafter the pH was adjusted to 7.5 and aliquots were stored at 4°C.

5 Washed cell pellets were suspended in 10 µl serum-free medium and 200 µl neutralized collagen, after which 10 µl recombinant TGF β -vWF or control medium was added. The cell/collagen mixtures were then transferred to 24-well tissue culture plates and incubated at 37°C for 30 minutes until the collagen 10 molecules aggregated into fibrils, thus trapping cells within the collagen gels. 0.5 ml of 0.5% FBS in DMEM medium was then overlayed on the gel, and the cells were incubated at 37°C for 7 days without changing the medium. After 7 days of serum deprivation, the medium 15 was replaced with D10 medium, which was thereafter changed every 3 days. Seven days after reconstitution with D10 medium, selected cultures were supplemented with osteoinductive agents: 10 $^{-8}$ M dexamethasone, 2.8 x 10 $^{-4}$ M ascorbic acid and 10mM β -glycerol phosphate in D10 20 medium.

Example 5

Transduction of TGF β 1-Selected Cells and Marrow Stromal Cells with β Galactosidase and Factor IX (LIXSNL) Retroviral Vectors

25 The TGF β 1-vWF responsive cells captured within the collagen pads (or on collagen-coated plates) and the human marrow stromal cells selected by differential plating in DMEM-10% FBS (D10) were exposed for two hours to both a β galactosidase vector (G1BgSvNa) and a 30 factor IX vector (LIXSNL) in the presence of 8 µg/ml Polybrene. The names G1BgSvNa and LIXSNL indicate the

order of promoter and coding regions (G1 or L = MoMuLV LTR; Bg = β galactosidase cDNA; IX = human factor IX cDNA; Sv or S = SV40 promoter; Na or N = neomycin phosphotransferase gene). The vector titers were 1.3 X 5 10^6 cfu/ml for G1BgSvNa and 1 X 10^6 cfu/ml for LIXSNL. The LIXSNL vector, which contains only the neomycin resistance gene, served as the control vector. The G1BgSvNa and LIXSNL retroviral vectors were provided as 10 PA317 producer cell clones by Genetic Therapy, Inc., Gaithersburg, MD, and Dr. Dusty Miller, University of Washington, Seattle, Washington, respectively.

After forty-eight hours, the collagen pads were fixed with paraformaldehyde and stained with X-gal (β galactosidase) stain to detect cells producing 15 cytoplasmic β galactosidase. The marrow stromal cells transduced with the β galactosidase vector were also stained with X-gal stain before and after G418 selection. The transduction efficiency of stromal cells was determined in transduced, unselected cells by 20 determining the number of blue-staining cells in 300 cells counted. This number was then expressed as the percent of blue-staining cells. See Skotzko M.J., et al., "Retroviral Vector-mediated Gene Transfer of Antisense Cyclin G1 (CYCG1) Inhibits Proliferation of 25 Human Osteogenic Sarcoma Cells," Cancer Research 55:5493-5498 (1995). At serial intervals, medium was harvested from the cell cultures transduced with the factor IX and control vectors and stored in aliquots at -70°C until assayed for factor IX clotting activity and 30 antigen.

Factor IX coagulant activity was measured as described by Gordon E.M., et al., "Characterization of

Monoclonal Antibody-purified Factor IX Produced in Human Hepatoma (HepG2) Cell Cultures after Retroviral Vector-Mediated Transfer," J. Int. Pediatr. Hematol. Oncol. 2:185-191, (1995) using a modification of the 5 partial thromboplastin time while the amount of factor IX antigen was measured using a specific radioimmunoassay technique, as described by Gordon E.M., et al., "Expression of Coagulation Factor IX (Christmas factor) in Human Hepatoma (HepG2) Cell 10 Cultures after Retroviral Vector-Mediated Transfer," Amer. J. Pediatr. Hematol. Oncol. 15:195-203 (1993). Any significance in differences among groups was tested by analyzing variance. See Dixon W.J., et al., BMDP Statistical Software (Berkley: University of California 15 Press, 1990).

Example 6

Transplantation of Murine Premesenchymal Progenitor Cells Transduced with a Factor IX (LIXSNL) Retroviral Vector

20 TGF β 1-responsive cells from bone marrow of 6 week-old, 20 gm, B6CBA immunocompetent mice (Jackson Labs, Barr Harbor, Maine) were captured on collagen-coated, TGF β 1-vWF impregnated plates under serum-poor conditions (DMEM-1%FBS) for 5 days. The cultures were 25 then reconstituted in D10 medium (DMEM-10% FBS). The expanded cultures were transduced on the 7th day with the LIXSNL factor IX vector or LXSNL control vector in the presence of 8 μ g/ml Polybrene, and maintained in D10 for two more weeks. On the 21st day, 1×10^5 cells 30 were infused into the tail vein of mice from the same strain (n = 3 for each group). Blood samples were

collected every 7 days from the mouse's tail for conducting a factor IX antigen assay.

Example 7

5 Reverse-transcriptase-based Polymerase Chain Reaction (RT-PCR) Analysis of the Human Factor IX Transgene in Murine Organs

Total RNA was isolated from different organs of the mice treated in Example 6 by the guanidinium isothiocyanate method, as described by Chormczynski P., et al., "Single Step Method of RNA Isolation by Acid Guanidinium Thiocyanate-Phenol-Chloroform Extraction," Anal. Biochem. 192:156-59 (1988). The first strand of cDNA from total RNA was synthesized by reverse transcriptase, as described by the Invitrogen cDNA Cycle Kit (Invitrogen, San Diego CA), followed by PCR amplification. Each reaction in a 20 μ l volume contained 1 μ g RNA, 1 μ g random primer and 5 units AMV reverse transcriptase.

20 The RNA and random primers first were heated together at 65°C to remove their secondary structure and then placed at room temperature for 2 minutes. The subsequent reactions were then carried out in 1X reverse transcriptase buffer comprising 5mM dNTPs, 4mM sodium pyrophosphate and 5 units of reverse transcriptase, at 42°C for 60 minutes. The sample was heated at 95°C for 2 minutes to denature the RNA-cDNA hybrid. To amplify the human factor IX gene by PCR, primers were designed using the region of the factor IX gene within which a difference occurs between the homology of the amino acid sequences of human and mouse cDNA.

Oligonucleotides used for effecting PCR amplification of the human factor IX sequence were as follows: (707) sense 21-mer 5' ACT CAA GGC ACC CAA TCA TTT 3'; (708) 5' AAC TGT AAT TTT AAC ACC AGT TTC AAC 5' 3'. Additionally, human liver RNA was used as a positive control for the amplification reaction.

The cDNA synthesized from the above reaction was denatured first at 94°C for 5 minutes, then at 80°C for 1 minute, followed by 60°C for 45 seconds (step 1). 10 The sample was then heated at 72°C for 2 minutes (step 2), followed by heating at 94°C for 1 minute, 60°C for 45 seconds, and 72°C for 10 minutes (step 3). Steps 1 and 2 were performed only once, while step 3 was carried out 30 times. After the reaction was 15 completed, the samples were run on 2.5% agarose gels to visualize the factor IX bands, as demonstrated by Figure 7.

Accordingly, the above findings demonstrate that TGF β 1 can be used in a gene therapy protocol, wherein 20 bone marrow-derived cells, preferably pluripotent stem cells, are the desired targets for delivering a gene to a subject.

The disclosures of all patents, patent 25 applications and publications referenced in this specification are specifically incorporated herein by reference in their entirety to the same extent as if each such individual patent, patent application or publication were specifically or individually indicated to be incorporated by reference in its entirety.

What is claimed is:

1. A selected, homogeneous population of bone marrow-derived TGF β 1-responsive cells.
- 5 2. The population of cells of claim 1, wherein the cells are premesenchymal progenitor cells or stromal cells.
3. The population of cells of claim 2, wherein the premesenchymal progenitor cells are pluripotent blastoid cells.
- 10 4. The population of cells of any one of claims 1 to 3, wherein the TGF β 1 is a TGF β 1 fusion protein comprising an extracellular matrix binding site.
- 15 5. The population of cells of claim 4, wherein the extracellular matrix binding site targets the TGF β 1 to an extracellular matrix.
6. The population of cells of claim 5, wherein the extracellular matrix binding site is a collagen binding site and the extracellular matrix is collagen.
- 20 7. The population of cells of claim 6, wherein the collagen binding site is a von Willebrand's factor-derived collagen binding site.
- 25 8. A method for selecting a population of bone marrow-derived TGF β 1-responsive cells from bone marrow, comprising treating bone marrow cells in vitro with a TGF β 1 protein, thereby selecting from the cells a

population of cells that are responsive to the TGF β 1 protein.

9. The method of claim 8, wherein the bone marrow-derived TGF β 1-responsive cells are 5 premesenchymal progenitor cells or stromal cells.

10. The method of claim 9, wherein the premesenchymal progenitor cells are pluripotent blastoid cells.

11. The method of any one of claims 8 to 10, 10 wherein the TGF β 1 is a TGF β 1 fusion protein comprising an extracellular matrix binding site.

12. The method of claim 11, wherein the extracellular matrix binding site targets the TGF β 1 to an extracellular matrix.

15 13. The method of claim 12, wherein the extracellular matrix binding site is a collagen binding site and the extracellular matrix is collagen.

14. The method of claim 13, wherein the collagen binding site is a von Willebrand's factor-derived 20 collagen binding site.

15. A homogeneous population of transduced bone marrow-derived TGF β 1-responsive cells, wherein the cells comprise a DNA segment encoding a therapeutic protein.

16. The population of cells of claim 15, wherein the cells are mesenchymal progenitor cells or stromal cells.

17. The population of cells of claim 16, wherein 5 the premesenchymal progenitor cells are pluripotent blastoid cells.

18. The population of cells of any one of claims 15 to 17, wherein the TGF β 1 is a TGF β 1 fusion protein comprising an extracellular matrix binding site.

10 19. The population of cells of claim 18, wherein the extracellular matrix binding site targets the TGF β 1 to an extracellular matrix.

15 20. The population of cells of claim 19, wherein the extracellular matrix binding site is a collagen binding site and the extracellular matrix is collagen.

21. The population of cells of claim 20, wherein the collagen binding site is a von Willebrand's factor-derived collagen binding site.

20 22. The population of cells of claims 15 or 20, wherein the DNA segment encodes human factor IX.

23. A method for expressing a recombinant protein from bone marrow-derived cells, comprising the steps of:

- a) treating bone marrow cells in vitro with a TGF β 1 protein, thereby selecting from the cells a population of cells that are responsive to the TGF β 1 protein; and
- 5 b) inserting into the TGF β 1-responsive cells a DNA segment encoding a therapeutic protein, wherein the TGF β 1-responsive cells express the therapeutic protein.

24. The method of claim 23, wherein the TGF β 1 protein is a TGF β 1 fusion protein comprising an extracellular matrix binding site.

25. The method of claim 24, further comprising the step of targeting the TGF β 1 fusion protein to an extracellular matrix.

26. The method of claim 25, wherein the extracellular matrix binding site is a collagen binding site and the extracellular matrix is collagen.

27. The method of claim 23, wherein the bone marrow cells are human cells.

28. The method of claim 26, wherein the TGF β 1-responsive cells are premesenchymal progenitor cells or stromal cells.

29. The method of claim 28, wherein the premesenchymal progenitor cells are pluripotent blastoid cells.

30. The method of claim 23, wherein the DNA segment is inserted into the TGF β 1-responsive cells in vitro by a viral vector.

31. The method of claim 30, wherein the viral vector is a retroviral vector.

32. The method of any one of claims 23-31, wherein the DNA segment encodes human factor IX.

33. A method for providing a mammal with a therapeutic protein comprising:

introducing TGF β 1-responsive, bone marrow-derived cells into a mammal, the TGF β 1-responsive, bone marrow-derived cells having been treated in vitro (a) with a TGF β 1 protein to select the TGF β 1-responsive cells from a bone marrow sample, and (b) to insert into the TGF β 1-responsive cells a DNA segment encoding a therapeutic protein, the cells expressing in vivo in said mammal a therapeutically effective amount of the therapeutic protein.

34. The method of claim 33, wherein the TGF β 1 protein is a TGF β 1 fusion protein comprising an extracellular matrix binding site.

35. The method of claim 34, further comprising the step of targeting the TGF β 1 fusion protein to an extracellular matrix.

20 36. The method of claim 35, wherein the extracellular matrix binding site is a collagen binding site and the extracellular matrix is collagen.

37. The method of claim 36, wherein the TGF β 1-responsive cells are premesenchymal progenitor cells or stromal cells.

25 38. The method of claim 37, wherein the premesenchymal progenitor cells are pluripotent blastoid cells.

30 39. The method of claim 33, wherein the DNA segment has been inserted into the TGF β 1-responsive cells in vitro by a viral vector.

40. The method of claim 39, wherein the viral vector is a retroviral vector.

41. The method of any one of claims 33-40, wherein the DNA segment encodes human factor IX.

35 42. A gene therapy method comprising the steps of:

40 a) capturing TGF β 1-responsive, bone marrow-derived cells under low serum conditions in a collagen matrix impregnated with a TGF β 1 fusion protein comprising a von Willebrand's factor-derived collagen binding site which targets the TGF β 1 protein to the collagen matrix;

43. The method of claim 42, wherein additional TGF β 1 is provided during the steps (a) and (b).

44. The method of claims 42 or 43, wherein the TGF β 1-responsive, bone marrow-derived cells are premesenchymal progenitor cells or stromal cells.

45. The method of claim 42, wherein the viral vector is a retroviral vector.

VWF-TGF- β Fusion Protein

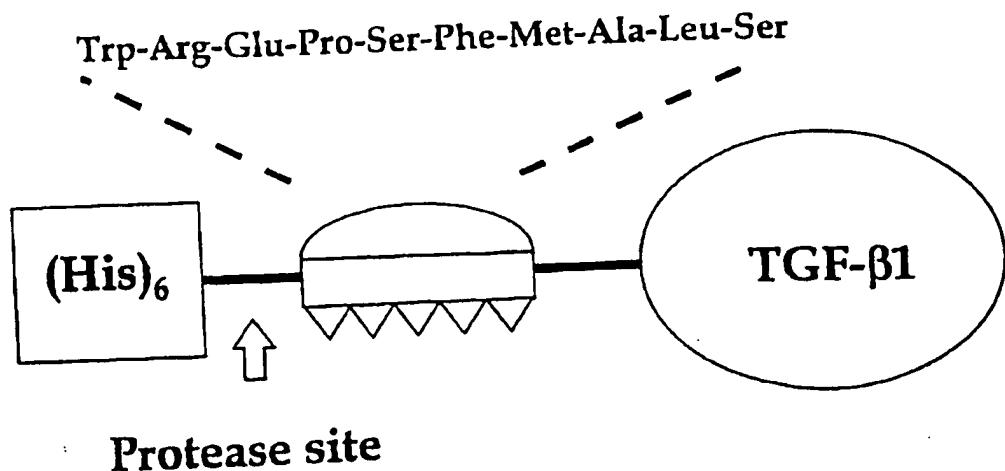


Figure 1

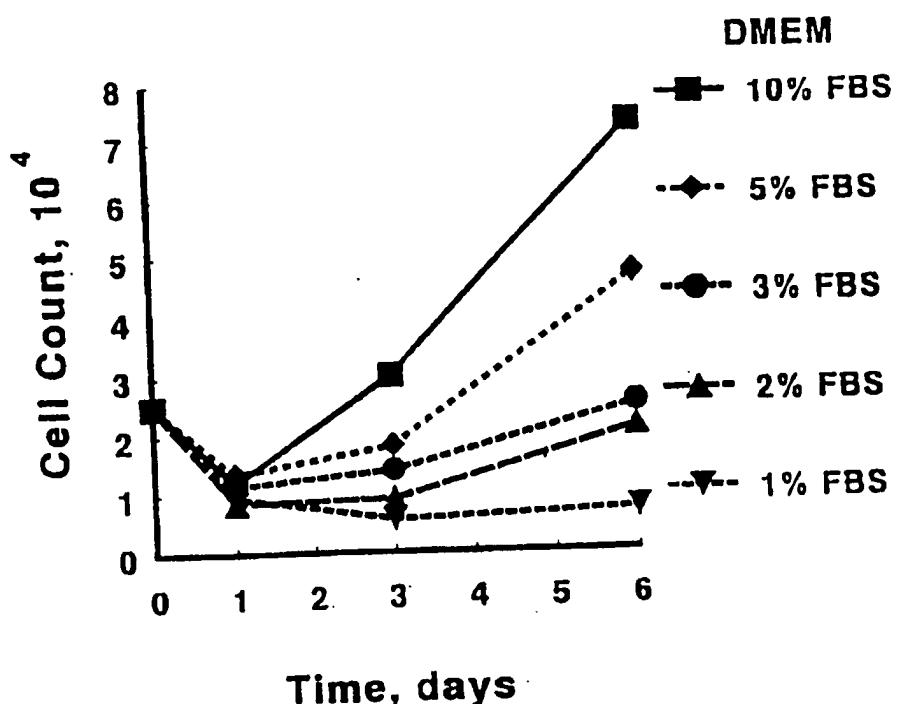


Figure 2

Figure 3





Figure 4

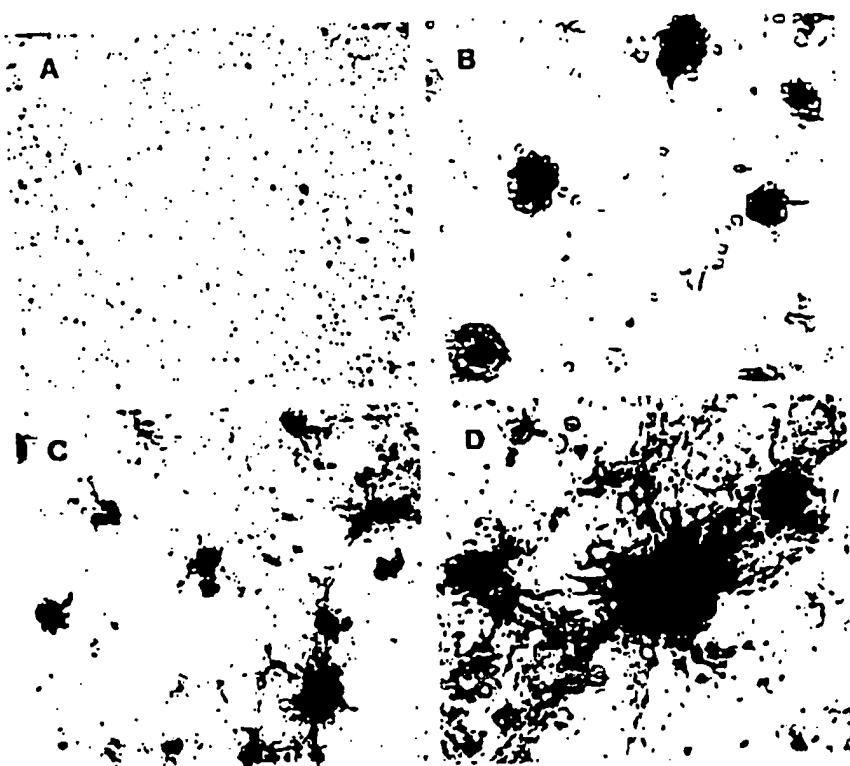


Figure 5

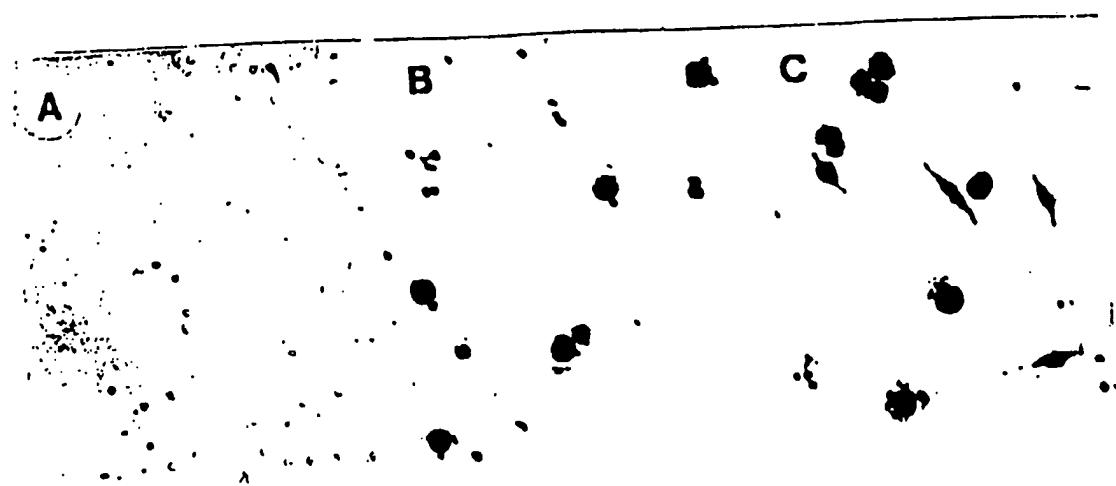


Figure 6

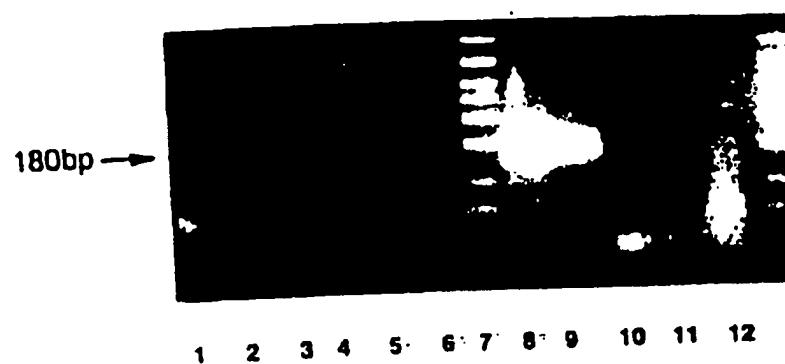


Figure 7





FIG. 9 Histological paraffin embedded sagittal sections of diffusion chambers explanted after 28 days, containing cells treated under different experimental conditions (a, control; b, hTGF- β 1; c, rITGF- β 1-F2; d, rhOP-1; e, rhBMP-2; f, bFGF). dot, diffusion chamber walls (Millipore filters); asterisk, fibrous tissue adjacent to the wall; ft, fluid tissue; c, cartilage; b, bone; arrows, perichondral tissue surrounded cartilage; open arrows, early fibrous tissue appeared next to the chamber walls. Bar, 500 μ m.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/20558

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) A61K 48/00; C12N 5/00; C12P 21/06, 19/00; C12Q 1/02
 US CL :424/93.21; 435/29, 69.1, 70.1, 325

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B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/93.21; 435/29, 69.1, 70.1, 325

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS: CHEMICAL ABSTRACTS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GORDON, E.M. et al. Capture of Bone Marrow-Derived Human Mesenchymal Stem Cells with a Transforming Growth Factor β 1 (TGF β 1) Fusion Protein: Implications for Gene Therapy for Hemophilia B. Blood. December 1995. Vol. 86, supplement 1, page 998a, see page 998a.	1-22
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Y		23-45

 Further documents are listed in the continuation of Box C. See patent family annex.

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(71) Applicant (for all designated States except US): UNIVERSITY OF SOUTHERN CALIFORNIA [US/US]; University Park Campus, Los Angeles, CA 90089 (US).			Published <i>With international search report.</i>
(72) Inventors; and (75) Inventors/Applicants (for US only): GORDON, Erlinda, Maria [US/US]; Suite 1803W, 345 Pioneer Drive, Glendale, CA 91203 (US). HALL, Frederick, L. [US/US]; Suite 1803W, 345 Pioneer Drive, Glendale, CA 91203 (US). ANDERSON, W., French [US/US]; 960 Oxford Avenue, San Marino, CA 91108 (US).			

(54) Title: **TGF β 1-RESPONSIVE CELLS FROM BONE MARROW**

(57) Abstract

A homogeneous population of bone marrow-derived TGF β -responsive cells, a method for their selection from bone marrow, and a method for expressing a recombinant protein from the bone marrow-derived cells. The selection comprises the steps of treating bone marrow cells in vitro with a TGF β 1 protein, which selects a homogeneous population of the cells for further treatment. The selected cells can then be expanded, after which a gene encoding a therapeutic protein can be inserted into the expanded cells and thereafter express the therapeutic protein. The transduced cells can then be introduced into a mammal to produce a therapeutic result.

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